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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

210090US0PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/868643

INTERNATIONAL APPLICATION NO.
PCT/JP00/04453INTERNATIONAL FILING DATE
05 July 2000PRIORITY DATE CLAIMED
28 October 1999 (earliest)

TITLE OF INVENTION

SOYBEAN-GERM OIL AND METHOD FOR THE PRODUCTION OF GERM-ENRICHED SOYBEAN MATERIAL

APPLICANT(S) FOR DO/EO/US

OZAWA Yoichi et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Notice for Consideration of Documents Cited in International Search Report/Notice of Priority/PCT/IB/304
Drawings (5 Sheets)/PCT/IB/308

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- a. ☒ A check in the amount of \$1,796.00 to cover the above fees is enclosed.
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- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



DATE _____

210090US-0 PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
YOICHI OZAWA ET AL : ATTN: APPLICATION DIVISION
SERIAL NO: NEW U.S. PCT APPLN :
(Based on PCT/JP00/04453)
FILED: HEREWITH :
FOR: SOYBEAN-GERM OIL AND :
METHOD FOR THE PRODUCTION
OF GERM-ENRICHED SOYBEAN
MATERIAL

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS

Please amend the claims as shown on the marked-up copy following this amendment to read as follows:

3. (Amended) A soybean material containing 15-80% by weight of the germ content, which is produced by the method according to Claim 1.

6. (Amended) Oil prepared from a soybean material containing 15-80% by weight of the germ content which is produced by the method according to Claim 1.

8. (Amended) Oil according to Claim 4, in which a content of Campesterol in the total sterol is 7.0-14% by weight or less.

9. (Amended) Oil according to Claim 4 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

10. (Amended) Oil according to Claim 4 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

Please add the following new claims:

14. (New) A soybean material containing 15-80% by weight of the germ content, which is produced by the method according to Claim 2.

15. (New) Oil prepared from a soybean material containing 15-80% by weight of the germ content which is produced by the method according to Claim 2.

16. (New) Oil according to Claim 5, in which a content of Campesterol in the total sterol is 7.0-14% by weight or less.

17. (New) Oil according to Claim 6, in which a content of Campesterol in the total sterol is 7.0-14% by weight or less.

18. (New) Oil according to Claim 7, in which a content of Campesterol in the total sterol is 7.0-14% by weight or less.

19. (New) Oil according to Claim 15, in which a content of Campesterol in the total sterol is 7.0-14% by weight or less.

20. (New) Oil according to Claim 5 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

21. (New) Oil according to Claim 6 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

22. (New) Oil according to Claim 7 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

23. (New) Oil according to Claim 8 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

24. (New) Oil according to Claim 15 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

25. (New) Oil according to Claim 16 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

26. (New) Oil according to Claim 17 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

27. (New) Oil according to Claim 18 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

28. (New) Oil according to Claim 19 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.

29. (New) Oil according to Claim 5 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

30. (New) Oil according to Claim 6 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

31. (New) Oil according to Claim 7 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

32. (New) Oil according to Claim 8 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

33. (New) Oil according to Claim 9 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

34. (New) Oil according to Claim 15 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

35. (New) Oil according to Claim 16 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

36. (New) Oil according to Claim 17 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

37. (New) Oil according to Claim 18 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

38. (New) Oil according to Claim 19 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

39. (New) Oil according to Claim 20 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

40. (New) Oil according to Claim 21 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

41. (New) Oil according to Claim 22 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

42. (New) Oil according to Claim 23 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

43. (New) Oil according to Claim 24 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

44. (New) Oil according to Claim 25 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

45. (New) Oil according to Claim 26 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

46. (New) Oil according to Claim 27 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

47. (New) Oil according to Claim 28 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.

REMARKS

Claims 1-47 are active in the present application. Claims 2, 6 and 8-10 have been amended to remove multiple dependencies. Claims 14-47 are new claims supported by the original Claims 1-13. No new matter is added. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

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Marked-Up Copy

Serial No: _____

Amendment Filed on: _____

6-28-01

IN THE CLAIMS

Please amend the claims as shown on the marked-up copy following this amendment to read as follows:

- 3. (Amended) A soybean material containing 15-80% by weight of the germ content, which is produced by the method according to Claim 1 [or Claim 2].
6. (Amended) Oil prepared from a soybean material containing 15-80% by weight of the germ content which is produced by the method according to Claim 1 [or Claim 2].
8. (Amended) Oil according to [one of Claims 4-7] Claim 4, in which a content of Campesterol in the total sterol is 7.0-14% by weight or less.
9. (Amended) Oil according to [one of Claims 4-8] Claim 4 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20-51% by weight.
10. (Amended) Oil according to [one of Claims 4-9] Claim 4 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.
- Claims 14-47 (New).--

Soybean-Germ Oil and Method for the Production of Germ-Enriched Soybean Material

Technical Field

The present invention relates to a method for producing germ-enriched soybean material, comprising separating and concentrating a germ fraction (although the "germ" should be academically called a "hypocotyle", the term "germ" is used in the present specification in the same meaning as the hypocotyle), to oil prepared from germ-enriched soybean material, to soybean oil containing 0.8 % by weight or more of sterol, to a cholesterol-lowering agent comprising said oil as an effective component, and to a food containing said oil.

Background Art

Like the other oil seeds, soybean consists of the parts of a cotyledon (ca.90%), a germ (ca.2%) and a hull (ca.8%). The soybean is used as material for soybean oil, after its hull is removed but the cotyledon and the germ that are rich in oil are not separated with each other.

When the oil is produced from the soybean, foreign substances such as stem, sheath and other seeds are first removed from a starting soybean material in a selection step for improving quality of a final oil product and defatted cake. Then, the material is provided with elasticity by being subjected to, for example, a heating treatment, crushed by means of a crushing roller or rubber roller and separated into the hull, cotyledon and germ parts. The hull, which contains components such as a pigment that will adversely affect the quality of oil, is removed by means of a vibrating sieve or a sorting apparatus with air. The cotyledon and germ are flaked altogether to destruct their structures and to ease extraction of oil, followed by the extraction with n-hexane to give a crude oil,

which is finally purified to yield the soybean oil.

Japanese Patent Laid-Open Application Sho.59 (1984)-82063 and Japanese Patent Laid-Open Application Hei.11 (1999)-196803 disclose a method for obtaining the germ part from half-cut soybeans by means of a sieve or sorting with air. In the method the germ part may be separated at a high concentration without suffering any damage. However, it is impossible to treat a large amount of the half-cut soybeans in just one crushing step. Further, a collecting rate of the germ attached to the hull is very low, increasing a load of a flaking machine. For these reasons, it is difficult to extract oil from the germ-enriched part obtained from the half-cut soybeans in view of processing capacity and performance management.

Japanese Patent Publication Sho.56 (1981)-39176 discloses a method for the concentration of soybean germ by sorting crudely crushed soybeans with air and separating a fraction of 14-60 mesh by means of a sieve. However, since the method will seriously hurt the germ part, the crudely crushed soybeans have to be immediately subjected to the next step. And, the size of the separated soybean fraction is so fine that an amount of the extracted oil will be small, increasing a load in a step for removing a solvent from meal.

Japanese Patent Laid-Open Application Sho.62(1987)-100256 discloses a method comprising treating crudely crushed soybeans at a high temperature and a high pressure for a certain period of time, releasing them under a low pressure to expand only the germ part, followed by separation of the germ and cotyledon by making use of the difference in their specific gravity. However, it is very dangerous to perform this method at the high temperature and high pressure, and nutritious elements in the germ will very likely be destroyed under these conditions. Further, it will disadvantageously take a heavy load to purify the oil that has been extracted from a burned cotyledon part

into odorless and tasteless soybean salad oil.

Soybean is food stuff that is very nutritious, and has been widely used as materials for various kinds of foods.

Up to now, a composition of phytosterols in the oil extracted from each part of the cotyledon, germ and hull, respectively, has been analyzed and reported (Kajimoto, G., et al., J. Jpn Oil Chem. Soc., 33 (8) 518 (1984)). But, Kajimoto, et al. did not disclose a total amount of sterol contained in said oil extracted from each part of soybean. Further, it did not disclose any cholesterol lowering effects of the oil extracted from soybean, either.

On the other hand, it is already known that oil that is supplemented with sterol (soybean sterol) that is obtained from deodorized distillate produced as a by-product during the production of soybean "tempura oil" (Japanese deep frying oil) may lower the cholesterol level in a body (Shibuya, et al., Journal of Kagawa Nutrition University 14, 173 (1983)).

The purposes of the present invention is therefore to improve a processing capacity in a crushing step, to reduce loss of the germ due to its attachment to the soybean (hull), to reduce an amount of the load in a flaking step and solvent-removing step, and to lower an amount of remaining oil in an extraction step when a soybean germ fraction is obtained from the soybean material. As a result, both the oil extracted from the germ and that from the cotyledon may be obtained without any loss, which may be then purified into soybean oil with a good taste.

Another purpose of the present invention is to provide oil that is prepared from the above fraction containing the soybean germ at a high concentration as a soybean material.

The present inventors have evaluated the above oil in an animal

test with respect to its cholesterol lowering effects in a body, and found unexpectedly that it shows the cholesterol lowering effect in the body at a smaller amount of sterol contained therein than that described in the prior documents. Another purpose of the present invention is therefore to provide an agent containing said oil as an effective component for lowering cholesterol in the body of animals.

Disclosure of the Invention

The present invention relates to a method for producing a soybean material, comprising crudely crushing a starting soybean material or its selected seeds from which foreign substances have been removed, into a size of less than $1/2$, preferably $1/16$ or more and less than $1/2$, more preferably $1/8$ or more and less than $1/4$ of the original one, and separating and concentrating a soybean germ fraction.

In the above production method, the separation and concentration of the soybean germ fraction may be done after crudely crushing and flaking.

The present invention is further related to oil or soybean oil prepared from a soybean material containing 15 ~ 80 % by weight, preferably 30 ~ 80 % by weight, more preferably 40 ~ 80 % by weight of the germ content.

The present inventors have found that said soybean oil according to the present invention originally contains 0.8 % by weight or more, preferably 1.2 % by weight or more, more preferably 2.5 % by weight or more of a total sterol content without any addition of the sterol during its production steps.

Considering that the sterol content of a usual soybean tempura oil is about 0.4 % by weight, the content of sterols in the

soybean oil according to the present invention is two - six times as much as that in the usual soybean tempura oil.

Accordingly, the present invention relates to a soybean oil containing 0.8 % by weight or more, preferably 1.2 % by weight or more, more preferably 2.5 % by weight or more of a total sterol content.

The term "total sterol" in the present specification means eight kinds of sterols in total, that is, β -Sitosterol, Campesterol, Stigmasterol, Δ^7 -Stigmastenol, Brassicasterol, Δ^7 -Avenasterol, Citrostadienol and Cholesterol.

The soybean oil according to the present invention may contain any amount of other sterols than the above eight ones, and their reduced substances and their esters.

The soybean oil of the present invention is characterized by containing tocopherol in an amount of 100 mg or more, preferably 130 ~ 300 mg per 100 g of the soybean oil.

On the other hand, the usual soybean tempura oil contains about 80 ~ 170 mg of tocopherols per 100 g of the oil.

The present invention further relates to an agent for lowering cholesterol in the body, especially in serum and liver, which comprises as an effective component the oil prepared from the soybean material containing 15 % by weight or more of the germ content.

The present invention still further relates to various kinds of foods such as a nutritional supplemented food, a nutritional fortified food, and foods for specified health use, which contain the oil prepared from the soybean material containing 15 % by weight or more of the germ content.

Brief Description of Drawings

Fig.1 shows a chart of gas chromatography (GC) of unsaponifiable matters of the soybean oil prepared from the soybean material containing 40 % by weight of the germ content.

Fig.2 shows a chart of GC of unsaponifiable matters of the usual soybean oil as a comparison.

Fig.3 shows a ratio between the HDL-cholesterol level and the (VDL + LDL)-cholesterol level in serum after 2 week breeding.

Fig.4 shows a change of the total cholesterol level in serum in the course of time.

Fig.5 shows a change of the HDL-cholesterol level in serum in the course of time.

Best Mode for Carrying Out the Invention

The soybean material containing 15 ~ 80 % by weight of the germ content, which is to be used as a material for the preparation of soybean oil according to the present invention, is produced as follows.

First, the starting soybean material (whole soybean) may be optionally selected by removing foreign substances (contaminants) such as stem, sheath, weeds, sands, metal particles and small stones.

Next, the thus selected starting soybean material (the selected seeds) is heated, dried, peeled or crudely crushed by means of any known apparatus making use of friction, impact stress, shearing stress and the like.

The starting soybean material is crudely crushed into the size of less than 1/2, preferably 1/16 or more and less than 1/2, more preferably 1/8 or more and less than 1/4 of the original one, and separating and concentrating the soybean germ fraction. The starting soybean material is crudely crushed into the size of less than 1/2 in order to, for example, improve the processing capacity in a crushing step, to reduce the loss of the germ due to its attachment to the soybean hull, and to reduce the amount of the load in the flaking step. The size of "less than 1/2" means that half-cut soybeans (1/2 size of the original soybean) are substantially excluded from the crudely crushed soybeans.

The advantages effected by the crude crushing of the starting soybean material into a size of less than 1/2, preferably 1/16 or more and less than 1/2, more preferably 1/8 or more and less than 1/4 of the original one are summarized in Table 1 below. In Table 1, "◎", "○", "△", and "×" mean "more preferable", "preferable", "usual", and "bad", respectively.

[Table 1]

	1/2 or more	less than 1/2 and 1/4 or more	less than 1/4 and 1/8 or more	less than 1/8 and 1/16 or more	Less than 1/16
Purity of germ	◎	○	○	○	△
Damage of germ	◎	○	○	○	△
Processing capacity in a crushing step	△	○	◎	○	△
Attachment of germ to soybean hull	×	○	◎	○	△
Workload in a flaking step	×	○	◎	○	△
Extraction efficiency	○	◎	◎	○	×

The heating and drying of the starting soybean material is usually carried out for 4 - 8 hours at 40 - 80 °C.

The crudely crushed soybean is then subjected to at least one step with a separating apparatus such as the sieve and the sorting apparatus with air by making use of difference in the specific gravity, grain size and grain shape in order to remove the hull and cotyledon and to separate and concentrate the soybean germ fraction containing a certain amount of the germ. As a result, the soybean material according to the present invention is produced. In this case, the hull and germ may be further separated with each other by slightly destroying the hull having the germ attached thereto, removing the hull with the above separating apparatus, followed by separating and concentrating the soybean germ fraction containing a large amount of the germ.

When the separating apparatus based on the difference in grain size is used, the soybean germ fraction containing the large amount of the germ may be separated and concentrated by collecting fractions of 7 mesh (2.80 mm) or less, and further collecting fractions of 10 ~ 14 mesh (1.70 mm ~ 1.18 mm) with the sieve. The size of the mesh in the sieve for fractions to be collected depends on a degree of the crude crushing, and 14 ~ 16 mesh may be used for the same purpose.

The thus produced soybean germ fraction containing the germ as a main component contains at least 15 % by weight of the germ, and may be used as the soybean material for the preparation of the soybean oil according to the present invention. The above soybean germ fraction may also contain other components consisting mainly of cotyledon and hull at various constituting ratios depending on the separating and concentrating conditions, which are also used as material for the soybean oil.

The soybean material according to the present invention may

The soybean material according to the present invention may further comprise, in addition to the material derived from soybean, other germ materials derived from, for example, corn, wheat, rice, and rapeseed at an appropriate ratio for extraction of the oil according to the present invention.

The soybean material thus produced is then heated for several seconds ~ about 60 minutes, for example, at 40 ~ 100 °C, flaked, and contacted with an organic solvent such as n-hexane to extract an oil component or a crude oil. Alternatively, the flake may be subjected to heating and puffing by means of an expander, followed by the extraction with the organic solvent or carbonated liquid to give the crude oil.

Purified oil may be prepared by degumming, alkali refining, bleaching and deodorizing of the crude oil in a conventional manner known to those skilled in the art.

The agent or composition according to the present invention has an activity for lowering the level of cholesterol in the body, especially in serum and liver. The present agent may comprise various kinds of pharmaceutically acceptable auxiliaries and additives, which are known to those skilled in the art, in addition to the oil according to the present invention as an effective component. The auxiliaries and additives may be in the form of solid, liquid or gel depending on their kinds.

A dose of the cholesterol-lowering agent according to the present invention depends on the level of cholesterol in the body, age, sex, physical conditions of a subject, 3 ~ 30 g in terms of the soybean oil per one day for an adult being appropriate. An administration route may be optionally selected such as orally, etc.

Especially, the cholesterol-lowering agent has an activity for

significantly lowering the level of cholesterol in the serum.

The various kinds of foods according to the present invention such as usual foods, the nutritional supplemented food, the nutritional fortified food, and the foods for specified health use may comprise any known components that are acceptable in view of food hygiene, such as food additives, foodstuffs and the like.

The foods of the present invention may take various kinds of shapes or forms depending on the kinds of their components, such as mayonnaise, margarine, spread, dressing, oil for frying, bread, hamburger, solid like confectionaries, liquid, emulsion, and gel. The content of the oil according to the present invention in the foods may be optionally determined by their manufacturers, depending on the kinds of the foods.

Furthermore, the soybean oil according to the present invention may be combined for use with various kinds of germ oils such as corn germ oil, wheat germ oil, rice germ oil, and rapeseed germ oil; and usual soybean oil, rapeseed oil and sesame oil.

The present invention will be further described by referring to the following examples, which shall not be construed to limit the scope of the present invention at all.

Oil components of the examples were analyzed with by Standard Methods for the Analysis of Fats, Oils and Related Materials: Japan Oil Chemists' Society (1996). The analysis of some of the tocopherol components marked with "*" and sterols was carried out by Japan Food Analysis Center, while the rest of the tests was made by the present inventors themselves. The term "%" in the examples means "% by weight."

Example 1

Three kinds of the soybean oils according to the present invention were prepared by using the soybean materials having different contents of the soybean germ, and they were studied with respect to their cholesterol-lowering effects in an animal test. The soybean tempura oil and cotyledon oil were used in the same test as comparison.

(Production of material)

Selected whole soybeans were heated for 30 ~ 60 min at 80°C, and crudely crushed by being put through a rubber roller while their hulls were peeled away simultaneously due to shearing stress applied to them. After a fraction containing the germ and hull as main components was separated and collected by sorting with air, a hull part was removed by sorting with air and a germ part was concentrated. A part of the thus obtained soybean material was taken as a sample. The sample was separated into parts such as germ, and the contents of germ, cotyledon and the other parts such as hull were determined to be about 70 % by weight, about 25 % by weight and about 5 % by weight, respectively.

Alternatively, the selected whole soybeans were heated for 30 ~ 60 min at 80 - 100°C, crudely crushed into a size of less than 1/2 of the original one to give a mixture of hull, germ and cotyledon. The hull part was then removed from the mixture by means of sorting with air, and fractions of more than 7 mesh were removed by means of a sieve so as to collect fractions of 7 mesh or less (Yield: 21.5%).

Then, the fractions of 10 ~ 14 mesh were obtained by means of a vibrating sieve or vibrating sifter, and the co-existing hull was removed by the sorting with air to give a germ fraction that corresponds to 30.4 % of the fractions of 7 mesh or less. A part of the thus obtained soybean material was taken as a sample. The sample was separated into parts such as germ, and the

and about 5 % by weight, respectively. The cotyledon part that had been obtained separately was added to the above soybean material containing about 40 % by weight of the germ content to give a soybean material containing about 20% by weight of the germ content.

(Preparation of oils)

The above soybean materials having various germ concentrations were heated at 60°C and pressed into flakes with 0.2 - 0.3 mm in thickness by means of a flaking-roller. The flakes were treated with n-hexane for one hour at 50°C to give an oil component. The resulting cake was also treated in the same way to give an oil component. These two oil components were then combined to give micelle. The resulting micelle was concentrated at 50°C and 100 - 150 mmHg, further concentrated for 1 - 2 hours at 60 - 80°C under a reduced pressure with an aspirator, and finally heated at 60 - 70°C under a reduce pressure with a vacuum pump to reversibly remove remaining n-hexane and give a crude oil.

The crude oil prepared from the soybean material containing 40% by weight of the germ content was mixed with phosphoric acid (0.1%), stirred for 15 min at 70°C, mixed with distilled water, stirred for 30 min at 70°C and centrifuged to remove a gummy component. The resulting oil was then mixed with phosphoric acid (0.1%), stirred for 15 min at 75°C, mixed with NaOH solution (normal amount) at 26°C, stirred for 20 min at 70°C and centrifuged. The resulting supernatant was mixed with distilled water (5% of the total), washed for one min at 80°C and centrifuged. The resulting supernatant was mixed with activated earth (2%), stirred for 30 min at 80°C under a reduced pressure, filtered, and subjected to a steam distillation (an amount of steam : 2%) for 30 min at 180°C to give a purified oil.

Thus, the crude oil was prepared from the soybean material containing 70% by weight of the germ content, the crude and purified oils from the soybean material containing 40% by weight of the germ content, and the crude and purified oils from the soybean material containing 20% by weight of the germ content. All of these oils are the soybean oil according to the present invention.

Crude oil from the cotyledon part was also prepared in the same way as the above.

The contents of sterols, tocopherol and fatty acids of the representative oils are analyzed and summarized in Table 2. Fig.1 shows a chart of gas chromatography (GC) of unsaponifiable matters of the soybean oil prepared from the soybean material containing 40 % by weight of the germ content. Fig.2 shows a chart of GC of unsaponifiable matters of the usual soybean oil as a comparison. The conditions of GC are shown in below just by way of example.

Detection: FID;

Temperature: Inj. 280°C, Det. 290°C;

Column 260°C(50 min) - 10°C/min - 300°C(5 min);

Transfer Phase: Helium;

Internal Standard: Cholestanol.

It is demonstrated from Fig.1 and Fig.2 that a ratio of peaks appearing later than that of β -Sitosterol, that is, those of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol, to peaks appearing faster than that of β -Sitosterol, that is, those of Campesterol and Stigmasterol, is relatively higher in Fig.1 than in Fig.2.

Thus, the soybean oil according to the present invention is characterized by the following features:

- (1) The content of the total sterol is 0.8% by weight or more when the soybean oil is prepared from the soybean material 15% by weight or more of the germ content. Said content is equal to or more than that in usual oils such as soybean oil (0.4% by weight), rapeseed oil (0.8% by weight), corn oil (0.9% by weight), palm oil (0.3% by weight), safflower oil (0.3% by weight);
- (2) There are many sterol components whose retention time (r.t.) in GC is later than that of β -Sitosterol, and their contents amount to 20 ~ 51% by weight for the total amount of the sterols in the soybean oil;
- (3) The ratio (content) of Campesterol in the total sterol is 14% by weight or less, which is lower than those in the soybean oil (20% by weight), rapeseed oil (34% by weight) and corn oil (20% by weight).

[Table 2]

Materials	Soybean Germ Part (Fraction)						Cotyledon Part	Whole Soybeans
Germ Content (by weight)	70 %			40 %		20 %		
Lot. No.	1	2	3					
Oils	Crude Oil	Crude Oil	Crude Oil	Crude Oil	Purified Oil	Purified Oil	Crude Oil	Soybean Tempura Oil
Oil No.	1	2	3	4	5	6	7	8
Total Sterol %	3.7(100%)	3.1 (100%)	2.9 (100%)	1.8 (100%)	1.7 (100%)	1.0 (100%)	0.2	0.4
β -Sitosterol	2.0 (54)	1.6 (55)	1.5 (51)	1.0 (56)	0.9 (53)	0.5 (53)	0.1	0.2
Stigmasterol	0.2 (5)	0.2 (7)	0.2 (7)	0.1 (6)	0.1 (6)	0.1 (9)	0.05	0.1
Δ^7 -Stigmastenol	0.6 (16)	0.5 (17)	0.5(17)	0.3 (17)	0.3 (18)	0.1 (14)		
Campesterol	0.3 (8)	0.2 (7)	0.2 (7)	0.1 (6)	0.1 (6)	0.1 (9)	0.05	0.1
Brassicasterol	0.01 (0.3)	0.01 (0.3)	0.01 (0.3)	0.003 (0.2)	0.003 (0.2)	0.00		
Δ^7 -Avenasterol	0.3 (8)	0.2 (7)	0.2 (7)	0.1 (6)	0.1 (6)	0.05 (5)	0.0	0.0
Citrostadienol	0.4 (11)	0.4 (14)	0.3 (10)	0.2 (11)	0.2 (12)	0.1(11)		
Cholesterol	0.01 (0.3)	0.01 (0.3)	0.01 (0.3)	0.003 (0.2)	0.003 (0.2)	0.0	0.0	0.0
Tocopherols mg / Oil 100g	230*	238	190	143	140	116	76	95
Fatty Acid 16:0	13.0	11.9	12.3	11.5	11.9	11.2	10.9	10.4
18:0	3.5	3.7	3.8	4.3	4.4	4.4	4.6	4.4
18:1	11.2	15.2	15.2	20.5	20.4	23.0	24.2	25.4
18:2	54.8	55.6	55.5	53.5	53.6	52.7	52.1	51.5
18:3	17.4	13.3	12.8	9.4	9.0	7.8	6.6	6.7
20:0		0.5	0.4	0.4	0.4	0.4	0.4	0.4
20:1			0.0	0.4	0.4	0.4	0.2	0.3

(Animal Test No.1)

By using the Oils No.3, 5, 6, 7 and 8 in Table 2 as Test Oils, animal tests were performed to study their serum cholesterol lowering effects according to the following animal test protocols.

Oil No.8 was used in Tests No.1 and No.2, Oils No.3, 5, 6, and 7 were used in Tests No. 3, 4, 5 and 6, respectively.

No.1 Protocols:

Test numbers and their diet compositions are summarized in Table 3.

Male Wistar rats (190 ~ 200g) were housed individually in a cage for four weeks, freely fed with the diet. Ten rats were used per each of Tests No.1 to No.5, and 6 rats Test No.6

[Table 3]

Test No.	1	2	3	4	5	6
Addition of Cholesterol	-	+	+	+	+	+
Germ Content (%) in the Material	2	2	70	40	20	0
Oil Composition of Diet	Soybean Tempura Oil	Soybean Tempura Oil	Crude Oil	Purified Oil	Purified Oil	Cotyledon Oil
Oil Sterol Content (g)	10 g (0.04)	10 g (0.04)	10 g (0.27)	10 g (0.14)	10 g (0.09)	10 g (0.02)
Cholesterol	-	0.5	0.5	0.5	0.5	0.5
Sodium Cholate	-	0.25	0.25	0.25	0.25	0.25
Casein	20	20	20	20	20	20
Sucrose	60.80	60.05	60.05	60.05	60.05	60.05
Cellulose	4	4	4	4	4	4
Mineral Mix	4	4	4	4	4	4
Vitamin Mix	1	1	1	1	1	1
Choline Cl	0.2	0.2	0.2	0.2	0.2	0.2
(Total)	100 g	100 g	100 g	100 g	100 g	100 g

Serum Cholesterol Analysis after 2, 3 and 4 weeks:

At the end of the test period, the rats were anesthetized with ethyl ether. Blood was collected from their abdominal aorta and serum was separated from the blood by centrifugation for

15 at 3,000 rpm. The total cholesterol level in the serum was measured by an enzymatic analysis using FUJI DRI-CHEM SLIDE TCHO PII.

(Animal Test Results)

Fig.3 shows a ratio between a HDL-cholesterol level and (VLDL+LDL)-cholesterol level in the serum after two week housing period. Fig.4 shows a change of a total cholesterol level in the serum during the course of time. Fig.5 shows a change of the HDL-cholesterol level in the serum during the course of time.

As seen from Fig.3, Test No.4 showed the secondarily highest HDL-cholesterol level and the secondarily lowest (VLDL+LDL)-cholesterol level, while Test No.1 (cholesterol was not supplemented) had the highest HDL-cholesterol level and the lowest (VLDL+LDL)-cholesterol level.

As seen from Fig.4, Test No.4 showed the lowest total cholesterol level in the serum among various kinds of the soybean oil throughout 2, 3, and 4 weeks housing periods, followed by Test No.3, NO.5, No.2 and No.6.

As seen from Fig.5, Test No.3 and No.4 similarly showed the highest HDL-cholesterol level in the serum, followed by Test No.5, No.2 and No.6.

As seen from Table 3, although the total sterol content contained in Test No.4 is about half that in Test No.3, Test No.4 showed the lowest total cholesterol level in the serum throughout 2, 3, and 4 week housing periods.

The above fact revealed first by the present invention strongly suggests that the cholesterol lowering effects of the soybean oil according to the present invention may be attributed not

only to the content of the sterols contained therein.

Example 2

Other samples of the soybean oil were prepared according to the present invention. They were used in animal tests for studying the cholesterol lowering effects in which a usual soybean tempura oil supplemented with soybean sterol (containing 60% by weight of β -Sitosterol) was tested as well for comparison.

(Production of material)

Selected whole soybeans were crudely crushed, and the germ and hull were separated with a large aspirator. The germ part which may contain contaminants such as the hull and cotyledon was then separated from the hull part by means of a large reel. Furthermore, the hull part was removed from the germ part by using a small aspirator. The soybean material thus produced contains about 44.4% by weight, or 48 ~ 52% by weight of the germ content (Table 4). Table 4 also shows the content of the cotyledon part.

(Preparation of oil)

The soybean material produced in the above was flaked by using a flaking roller, extracted with n-hexane by using a small extracting apparatus to give micelle. After removing N-hexane from the micelle in distillation by means of LTV evaporator at 50°C and 100 ~ 150 mmHg, the micelle was concentrated for 6 ~ 7 hours and at 60 ~ 80 °C by using a capillary evaporator under a reduced pressure with an aspirator, and dried for 3 ~ 4 hours at 60 ~ 70 °C by using a dryer under a reduced pressure to give the soybean oil (Germ oil) according to the present invention. Similarly, the cotyledon part was treated to give cotyledon oil.

Two lots of the soybean oil (Germ oil) according to the present

invention and the cotyledon oil were analyzed and various values obtained in the analysis are summarized in Table 4.

[Table 4]

		Experiment I		Experiment II	
		Germ Part	Cotyledon Part	Germ Part	Cotyledon Part
Purity in Material	Hull %	9.0	0.4	Trace	Trace
	Cotyledon %	44.1	97.9	48~52	>90
	Germ %	44.4	0.6	48~52	0.4~0.6
	Others %	2.5	1.1		
Oil (%) in Material		12.4	21.2	12~15	20~23
Analysis of Oil	Total Sterol %	2.25	0.21	2.59	0.198
	Tocopherol %	0.223	0.144	0.214	0.142
	Palmitic Acid %	13.0	12.8		
	Stearic Acid %	3.5	4.6		
	Oleic Acid %	18.2	22.7		
	Linoleic Acid %	54.3	49.3		
	Linolenic Acid %	11.0	10.6		

(Animal Test No.2)

Experiments I and II were carried out by using the various kinds of the oil prepared in the above according to the following protocols to study the cholesterol lowering effects. The diet compositions are summarized in Tables 5 and 6. The cotyledon

oil and soybean tempura oil were used as a control, and the cotyledon oil and soybean tempura oil supplemented with β -sterol were used as a positive control as well. All the figures in these Tables mean "% by weight."

[Table 5]

Experiment I Diet composition (%)

Test No.	1	2	3	4
	Germ Oil	Cotyledon Oil	Soybean Tempura Oil	(Soybean Tempura Oil + β -Sitosterol)
Germ Content (%) in the Material	44.4	0	2	2
Oil (g)	Germ Oil 9.0	Cotyledon Oil 9.0	Soybean Tempura Oil 9.0	Soybean Tempura Oil 9.0
(Sterol Content)	(0.20)	(0.02)	(0.04)	(0.04)
β -Sitosterol	—	—	—	2.2
Cholesterol	0.5	0.5	0.5	0.5
Cholic acid	0.15	0.15	0.15	0.15
Casein	22.0	22.0	22.0	22.0
Cellulose	5.0	5.0	5.0	5.0
Salts	5.0	5.0	5.0	5.0
Vitamin mix	0.5	0.5	0.5	0.5
Choline Cl	0.3	0.3	0.3	0.3
Sucrose	57.55	57.55	57.55	55.35

[Table 6]

Experiment II Diet composition (%)

Test No.	1 Germ Oil	2 Cotyledon Oil	3 Soybean Tempura Oil	4 (Cotyledon+ β -Sitosterol)	5 Beef Tallow
Germ Content (%) in the Material	48~52	0	2	0	—
Oil (g)	Germ Oil 9.0	Cotyledon Oil 9.0	Soybean Tempura Oil 9.0	Cotyledon Oil 9.0	Beef Tallow 9.0
Sterol Content	(0.23)	(0.02)	(0.04)	(0.02)	—
β -Sitosterol	—	—	—	2.2	—
Cholesterol	0.5	0.5	0.5	0.5	0.5
Cholic acid	0.15	0.15	0.15	0.15	0.15
Casein	22.0	22.0	22.0	22.0	22.0
Cellulose	5.0	5.0	5.0	5.0	5.0
Salts	5.0	5.0	5.0	5.0	5.0
Vitamin mix	0.5	0.5	0.5	0.5	0.5
Choline Cl	0.3	0.3	0.3	0.3	0.3
Sucrose	57.55	57.55	57.55	55.35	57.55

The purity of β -sterol (manufactured by Tokyo Kasei Co.) used in the above Tables is about 60 % and the rest consists of Campesterol.

No.2 Protocols:

Three Male Wistar rats (Experiment I: ca.190g, Experiment II: 190 ~ 200g) were housed in a cage for four weeks, freely fed with the diet. Nine rats per each of Tests. The results are shown in Table 7.

β -Sitosterol (Tokyo Kasei Co.) has a purity of about 60%, and the rest being Campesterol. The cholesterol level in the serum was determined in the same way as in Example 1, and the cholesterol level in the liver was determined according to a method of Rudel et al., (Rudel, L.L., et al., J. Lipid Res., 14, 364 (1973)).

[Table 7]

Experiment I Results

Test No.	Sterol (%/diet)	Serum Cholesterol (mg/dl)	Hepatic Cholesterol (mg/g wt)
No. 1 Germ Oil	0.20	110.6 \pm 8.8	14.03 \pm 2.11
No. 2 Cotyledon Oil	0.02	151.1 \pm 19.3	18.56 \pm 3.61
No. 3 Soybean Tempura Oil	0.04	121.1 \pm 10.0	15.60 \pm 1.43
No. 4 Soybean Tempura Oil + β -Sitosterol	0.04 + 2.2 = 2.24	118.8 \pm 7.6	5.71 \pm 0.81
No. 5 (Reference) Clea diet		83.8 \pm 6.0	2.59 \pm 0.11

Experiments II Results

Test No.	Sterol (%/diet)	Serum Sterol (mg/dl)	Hepatic Sterol (mg/g wt)
No. 1 Germ Oil	0.23	102.2 \pm 11.3	14.29 \pm 3.81
No. 2 Cotyledon Oil	0.02	116.0 \pm 12.5	22.13 \pm 7.37
No. 3 Soybean Tempura Oil	0.04	109.9 \pm 6.2	21.44 \pm 7.50
No. 4 Cotyledon Oil + β -Sitosterol	0.02 + 2.2 = 2.22	124.2 \pm 9.4	5.71 \pm 1.75
No. 5 Beef Tallow		181.7 \pm 20.1	17.11 \pm 1.43
No. 6 (Reference) Clea diet		77.3 \pm 10.6	1.88 \pm 0.53

("Clea diet" in the above Tables is a commercially available diet for animals, which does not contain cholesterol)

As seen from Table 7, the cholesterol levels in the serum and liver in the case of Germ oil (Test No.1) were significantly lower than those in the case of Cotyledon oil (Test No.2) or the soybean tempura oil in both Experiments I and II.

The soybean tempura oil (Experiment I, No.4) supplemented with β -Sitosterol in an amount of 4 times as much as the sterol content of the germ oil (Test NO.1) and the cotyledon oil (Experiment II, No.4) supplemented with β -Sitosterol in an amount of 4 times as much as the sterol content of the germ oil (Test NO.1) showed a significantly lower cholesterol level than the Germ oil in the liver, but in the serum.

These results may demonstrate that the serum cholesterol

lowering effects of the soybean oil according to the present invention can not be attributed solely to β -Sitosterol contained therein, strongly suggesting that there may be other serum cholesterol-lowering components in the soybean oil according to the present invention.

Example 3

The soybean oil prepared in Example 1 (the crude oil prepared from the soybean material containing 40% by weight of the germ content and the purified oil obtained therefrom), soybean tempura oil, rice bran oil and corn oil were tested with respect to their serum cholesterol lowering effects according to the following animal test protocols. The figures of the components in Table 8 mean "% by weight."

[Table 8]

Diet composition (%)

Test No.	1 Soybean Tempura Oil	2 Rice Bran Oil	3 Corn Oil	4 Soybean Germ Part (Crude Oil)	5 Soybean Germ Part (Purified Oil)
Oil (g)	10.0	10.0	10.0	10.0	10.0
Sterol Content	(0.04)	(0.11)	(0.09)	(0.15)	(0.14)
Cholesterol	-	0.5	0.5	0.5	0.5
Sodium Cholate	-	0.25	0.25	0.25	0.25
Casein	20	20	20	20	20
Sucrose	60.80	60.05	60.05	60.05	60.05
Cellulose	4	4	4	4	4
Mineral Mix	4	4	4	4	4
Vitamin mix	1	1	1	1	1
Choline Cl	0.2	0.2	0.2	0.2	0.2
Total	100	100	100	100	100

No.3 Protocols:

Male Sprague Dawley (SD) rats (135g) were individually housed in a cage for four weeks, freely fed with the diet. Ten rats per each of Tests. The results are shown in Table 9.

[Table 9]

Test No.	Cholesterol	Serum Total Sterol after 4 weeks (mg/dl)	Serum LDL Sterol After 4 weeks (mg/dl)
No. 1 Soybean Tempura Oil	-	68 ± 10	4 ± 1
No. 2 Rice Bran Oil	+	146 ± 29	34 ± 8
No. 3 Corn Oil	+	129 ± 47	29 ± 12
No. 4 Soybean Germ Part (Crude Oil)	+	100 ± 34	21 ± 10
No. 5 Soybean Germ Part (Purified Oil)	+	98 ± 16	22 ± 6

As seen from Table 9, both the total cholesterol and LDL-cholesterol levels in the serum in the case of the soybean oil (Germ oil) were significantly lower than those in the case of the rice bran oil and corn oil that are known to have the cholesterol lowering effects, demonstrating that the serum cholesterol lowering effect of the soybean oil according to the present invention are significantly superior to those of the rice bran oil and corn oil.

The serum cholesterol was determined in the same way as in Example 1.

Industrial applicability

The cholesterol lowering effects of soybean sterol are obtained by administering it at an amount of three times as much as the cholesterol content in the diet (Yasui, A., Kaneda, T., J. Jap. Soc. Food and Nutr., Vol.25, No.1 27-32 (1973)).

On the other hand, the sterol contained in the soybean oil according to the present invention does show the cholesterol lowering effects, event though its content is much lower than, i.e., less than half the cholesterol content in the diet, as seen from Fig.3 ~ 5, and Table 7.

The cholesterol lowering effects of the present soybean oil are very remarkable, and never expected from the prior observations.

Furthermore, the above results suggest that there may be other cholesterol-lowering components than the soybean sterol (β -Sitosterol) in the soybean oil according to the present invention.

What is claimed is:

1. A method for producing a soybean material, comprising crudely crushing a starting soybean material or its selected seeds from which foreign substances have been removed, into a size of less than $1/2$, and separating and concentrating a soybean germ fraction.
2. A method for producing a soybean material, comprising crudely crushing a starting soybean material or its selected seeds from which foreign substances have been removed, into a size of less than $1/2$, flaking them, and separating and concentrating a soybean germ fraction.
3. A soybean material containing 15 ~ 80 % by weight of the germ content, which is produced by the method according to Claim 1 or Claim 2.
4. Oil prepared from a soybean material containing 15 ~ 80 % by weight of the germ content.
5. Oil prepared from a soybean material containing 30 ~ 80 % by weight of the germ content.
6. Oil prepared from a soybean material containing 15 ~ 80 % by weight of the germ content which is produced by the method according to Claim 1 or Claim 2.
7. Oil prepared from a soybean material without any addition of sterol, which contains 0.8 % by weight or more of a total sterol content.
8. Oil according to one of Claims 4 - 7, in which a content of Campesterol in the total sterol is 7.0 - 14% by weight or less.
9. Oil according to one of Claims 4 - 8 in which a total content of Δ^7 -Stigmastenol, Δ^7 -Avenasterol, and Citrostadienol in the total sterol is 20 - 51% by weight.
10. Oil according to one of Claims 4 - 9 which contains tocopherol in an amount of 100 mg or more per 100 g of the oil.
11. An agent for lowering cholesterol in a body, which comprises as an effective component the oil prepared from

the soybean material containing 15 % by weight or more of the germ content.

12. An agent for lowering cholesterol according to Claim 11, which is an agent for lowering cholesterol in serum.
13. A food containing the oil prepared from the soybean material containing 15 % by weight or more of the germ content.

ABSTRACT

The purpose of the present invention is to extract oil from the soybean material containing soybean germ as a main component, and to utilize it.

The present invention relates to a method for producing a soybean material, comprising crudely crushing selected soybeans into a size of less than 1/2, and separating and concentrating a soybean germ fraction by means of sieving, sorting with air, separation based on the grain shape and the like, to the soybean germ fraction produced by said method, to oil prepared from the soybean material containing soybean germ as a main component, to oil containing 0.8 % by weight or more of sterols' content, and to foods containing said oil.

Chromatogram

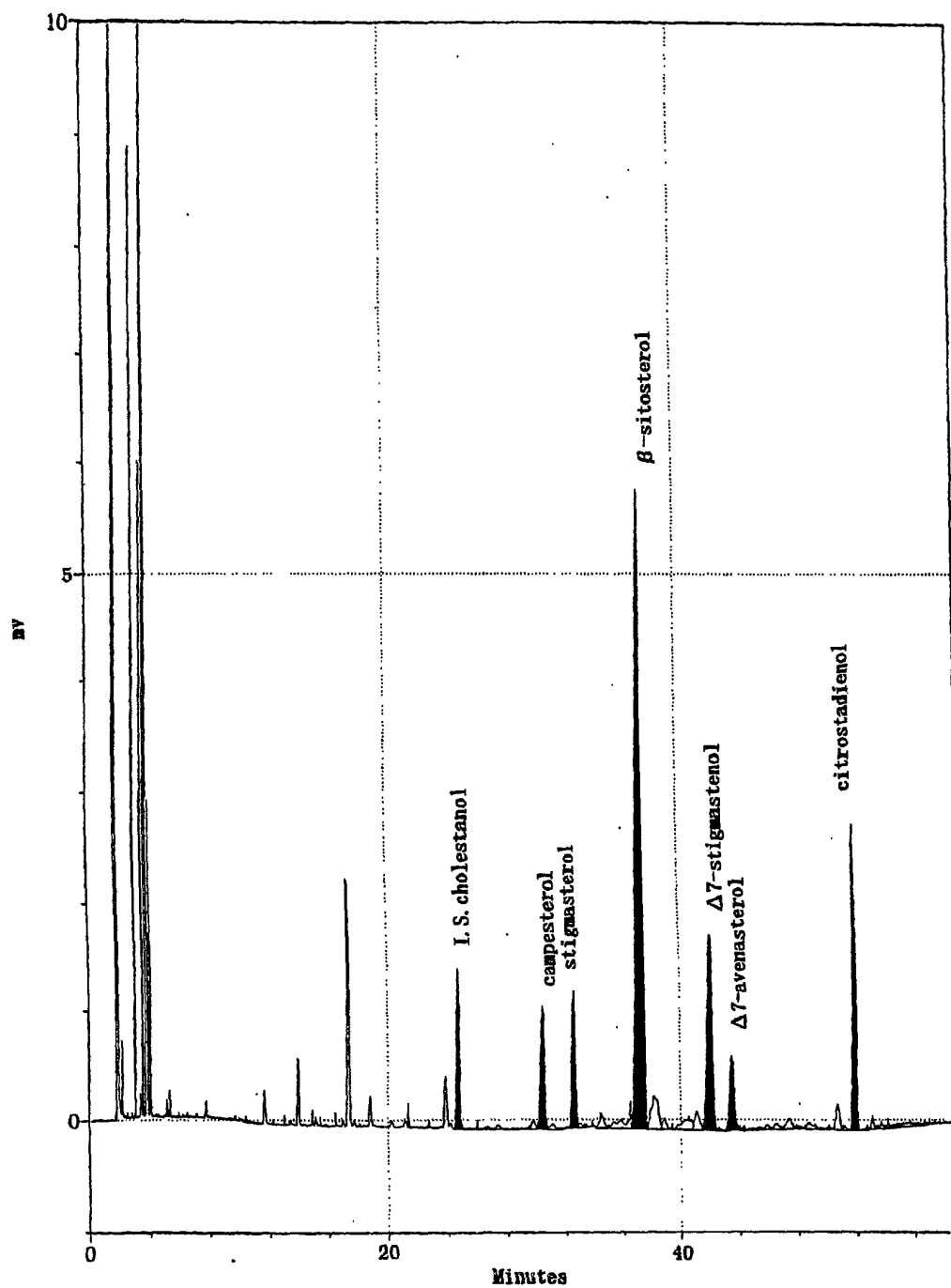


Fig.1

Chromatogram

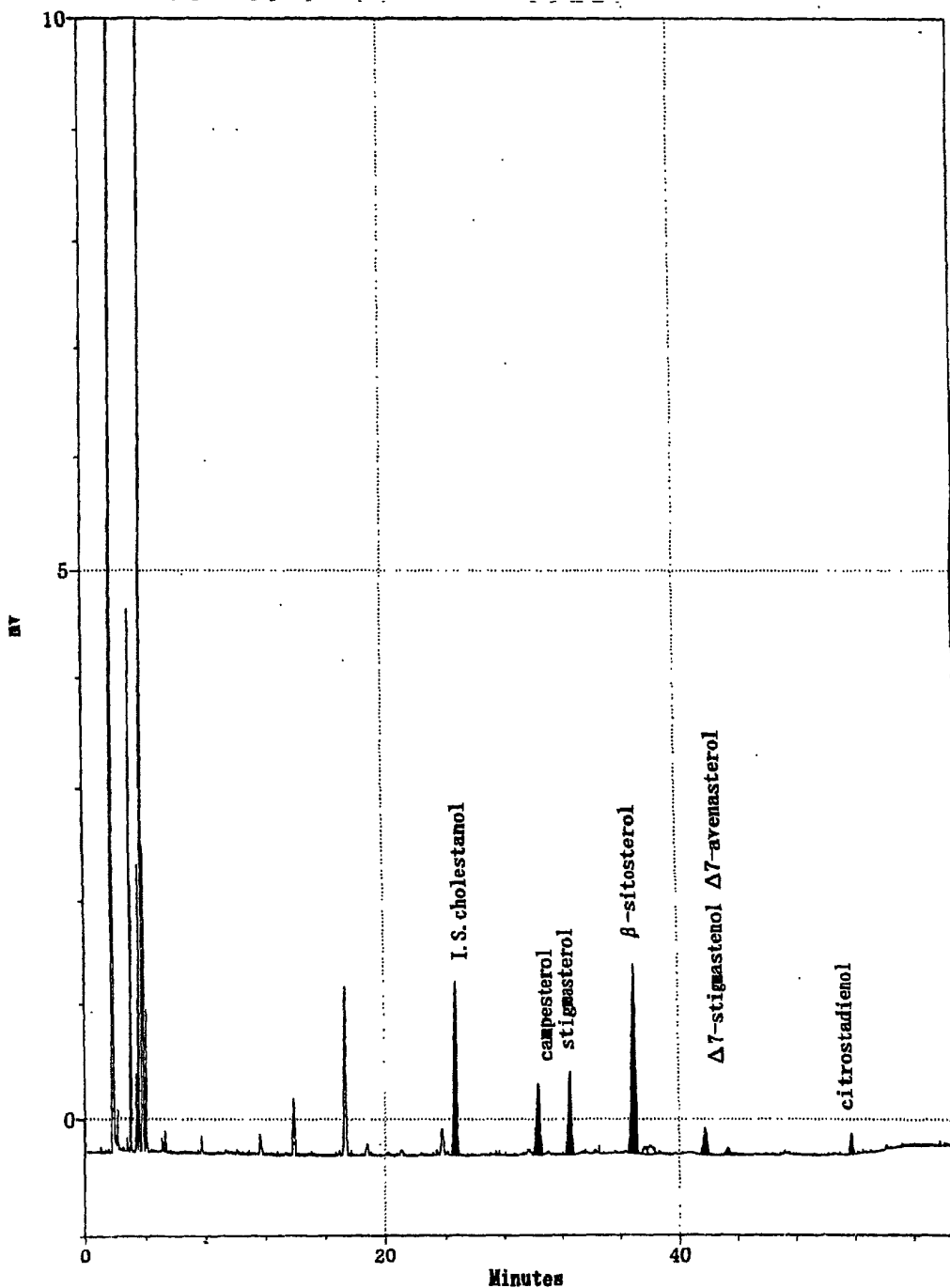


Fig.2

TEST # 41333333

09/868643

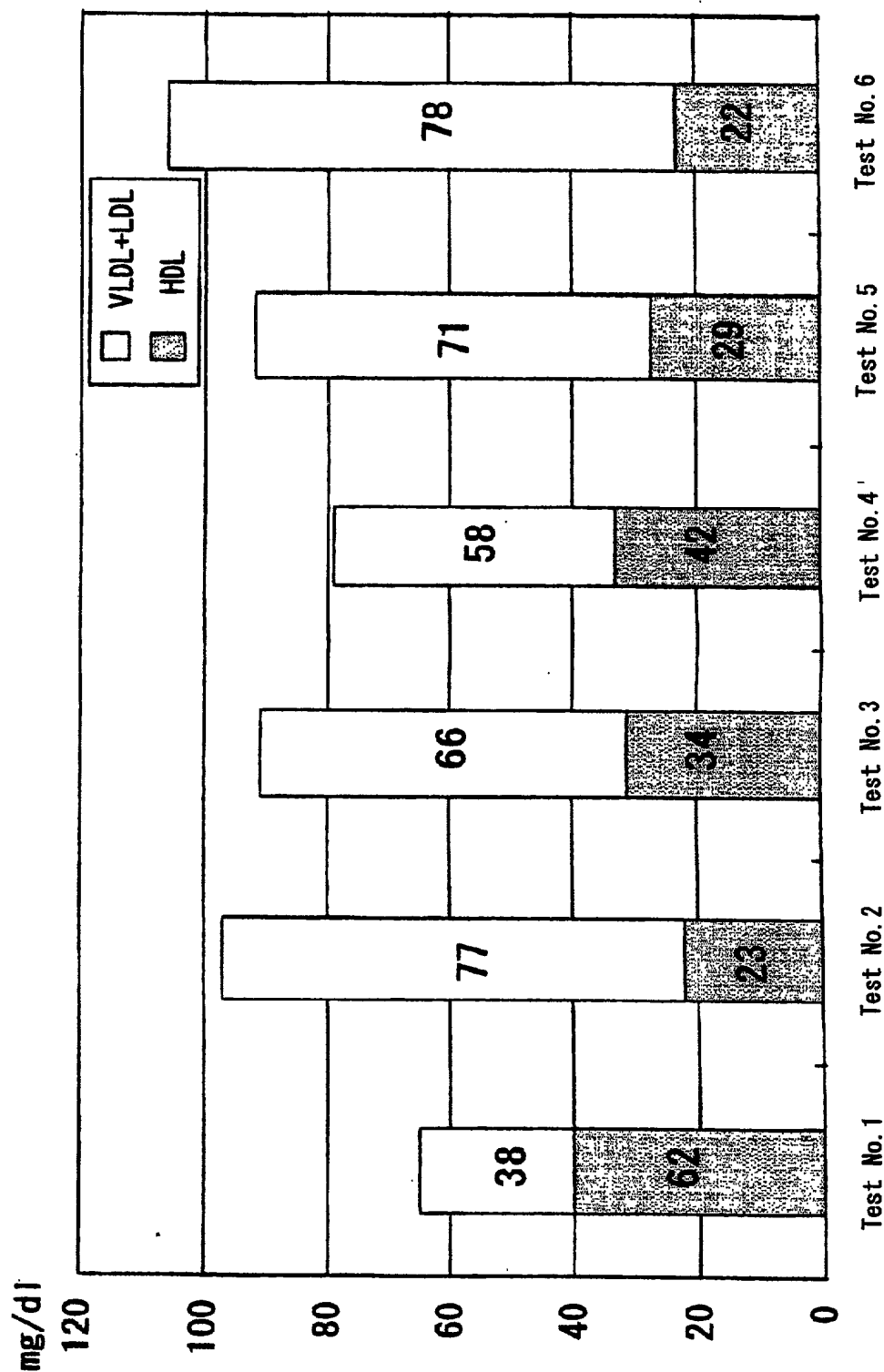


Fig.3

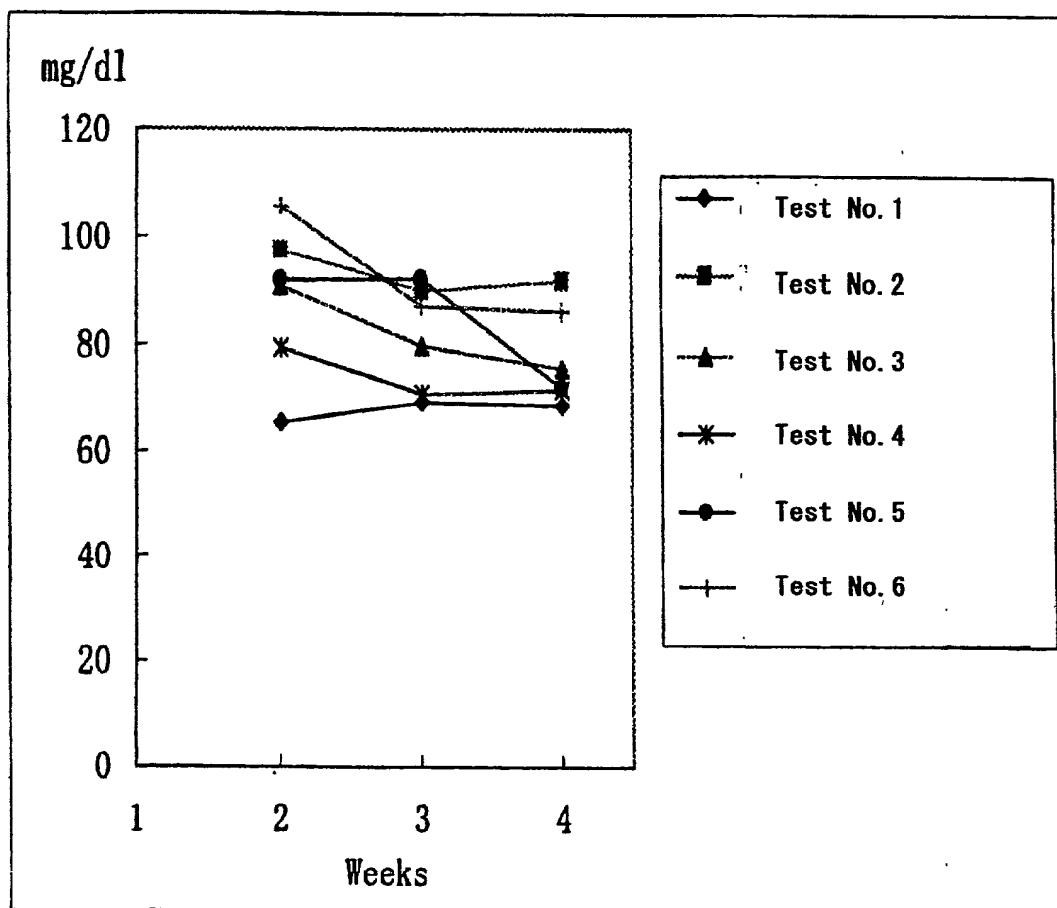


Fig.4

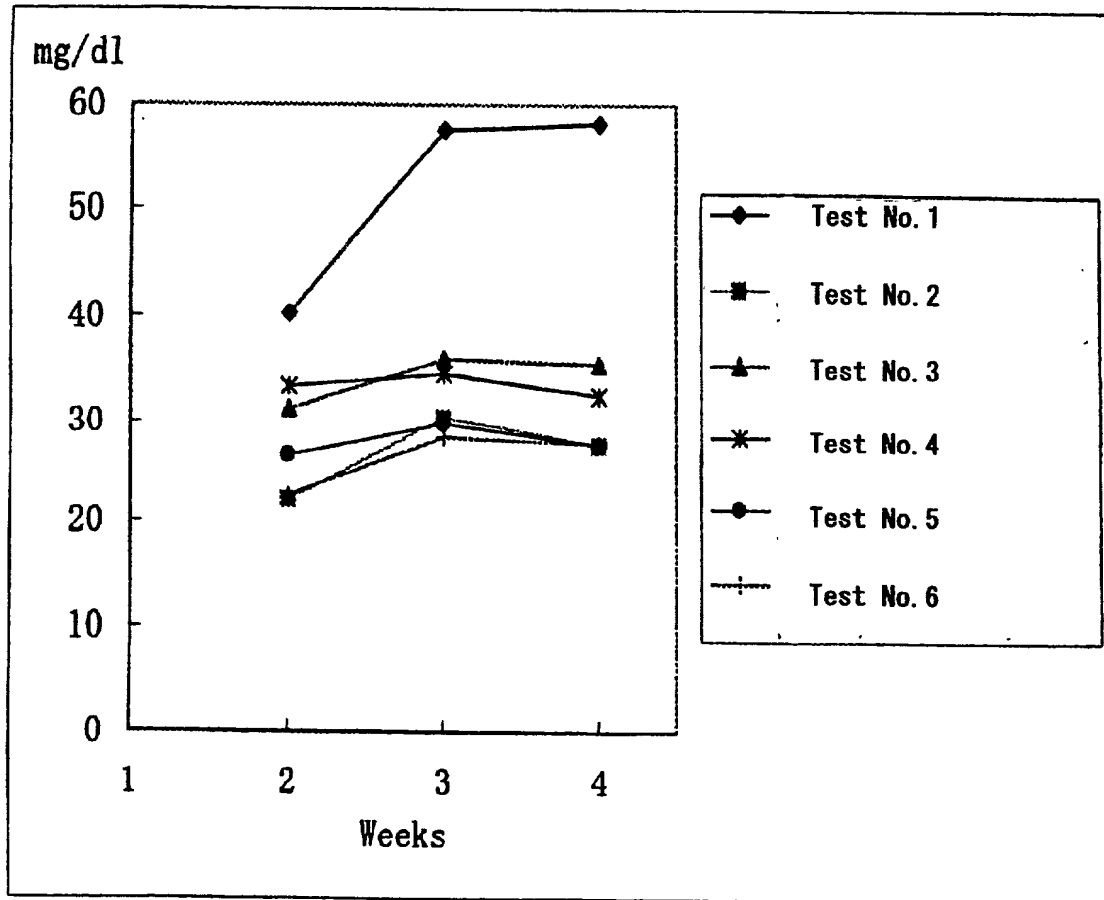


Fig.5

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

SOYBEAN EMBRYO FAT/OIL AND PROCESS FOR
PRODUCING SOYBEAN MATERIAL WITH HIGH
EMBRYO CONCENTRATION (as amended)

上記発明の明細書は、

the specification of which

☐ 本書に添付されています。

☐ is attached hereto.

☒ 月 日 に提出され、米国出願番号または特許協定条約国際出願番号を _____ とし、

☒ was filed on June 28, 2001

(該当する場合) _____ に訂正されました。

as United States Application Number or

PCT International Application Number

09/868,643 and was amended on _____
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条 (a) - (d) 項又は365条 (b) 項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365 (a) 項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願
11-306248

JAPAN

(Number)
(番号)

2000-128722

(Country)
(国名)

JAPAN

(Number)
(番号)

(Country)
(国名)

私は、第35編米国法典119条 (e) 項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条 (c) に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

PCT/JP00/04453

05 July 2000

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、私自信の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed
優先権主張

28 October 1999

(Day/Month/Year Filed)
(出願年月日)

28 April 2000

(Day/Month/Year Filed)
(出願年月日)

☒ ☐

Yes No
はい いいえ

☒ ☐

Yes No
はい いいえ

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration
(日本語宣言書)

委任状：私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。
(弁理士、または代理人の指名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)



022850

書類送付先

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単独発明者または第一の共同発明者の氏名 1 - cc	Full name of sole or first joint inventor <u>Yoichi OZAWA</u>
発明者の署名 日付	Inventor's signature <u>Yoichi Ozawa</u> Date <u>2001/08/07</u>
住所	Residence <u>c/o Food Research & Development Laboratories, Ajinomoto Co., Inc., 1-1, Suzuki-cho, Kawasaki-ku, Kawasaki-shi, JPX</u>
国籍	Citizenship <u>Kanagawa 210-8681, JAPAN</u> Japan
郵便の宛先	Post Office Address same as above
第二の共同発明者の氏名 2 - cc	Full name of second joint inventor, if any <u>Akihiro NAKATANI</u>
第二の共同発明者の署名 日付	Second joint Inventor's signature <u>Akihiro Nakatani</u> Date <u>2001/08/07</u>
住所	Residence <u>c/o Ajinomoto Oil Mills Co., Inc., 7-41, Daikoku-cho, Tsurumi-ku, Yokohama-shi, JPX</u> <u>Kanagawa 230-0053, JAPAN</u>
国籍	Citizenship Japan
郵便の宛先	Post Office Address same as above

(第三以降の共同発明者についても同様に記載し、署名すること)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration
(日本語宣言書)

第三の共同発明者の氏名	3-cc	Full name of third joint inventor, if any <u>Hitoshi SATO</u>
第三の共同発明者の署名	日付	Third joint Inventor's signature <u>Hitoshi Sato</u> Date <u>2001.08.02</u>
住所		Residence <u>c/o Food Research & Development Laboratories, Ajinomoto Co., Inc., 1-1,</u>
国籍		Citizenship <u>Suzuki-cho, Kawasaki-ku, Kawasaki-shi, Japan</u> Kanagawa 210-8681, JAPAN JPX
郵便の宛先		Post Office Address same as above

第四の共同発明者の氏名	4-cc	Full name of fourth joint inventor, if any <u>Osamu MORI</u>
第四の共同発明者の署名	日付	Fourth joint Inventor's signature <u>Osamu Mori</u> Date <u>2001.08.02</u>
住所		Residence <u>c/o Food Research & Development Laboratories, Ajinomoto Co., Inc., 1-1,</u> JPX
国籍		Citizenship <u>Suzuki-cho, Kawasaki-ku, Kawasaki-shi, Japan</u> Kanagawa 210-8681, JAPAN
郵便の宛先		Post Office Address same as above

第五の共同発明者の氏名	5-cc	Full name of fifth joint inventor, if any <u>Yukio AKIYAMA</u>
第五の共同発明者の署名	日付	Fifth joint Inventor's signature <u>Yukio Akiyama</u> Date <u>2001/08/06</u>
住所		Residence <u>c/o Ajinomoto Co., Inc., 15-1, Kyobashi, 1-chome, Chuo-ku, Tokyo 104-8315</u> JPX
国籍		Citizenship <u>Japan</u> JAPAN
郵便の宛先		Post Office Address same as above

第六の共同発明者の氏名	6-cc	Full name of sixth joint inventor, if any <u>Yasushi MORINAGA</u>
第六の共同発明者の署名	日付 <u>2001.08.07</u>	Sixth joint Inventor's signature <u>Yasushi Morinaga</u> Date <u>2001.08.07</u>
住所		Residence <u>c/o Food Research & Development Laboratories, Ajinomoto Co., Inc., 1-1, Suzuki-cho, Kawasaki-ku, Kawasaki-shi, JPX</u>
国籍		Citizenship <u>Japan</u> Kanagawa 210-8681, JAPAN
郵便の宛先		Post Office Address same as above

(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

(日本語宣言書)

第三の共同発明者の氏名	Full name of ^{seventh} joint inventor, if any
7-cc	Yuji NAKATA
第三の共同発明者の署名	Third joint Inventor's signature
日付	Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post Office Address

第四の共同発明者の氏名	Full name of fourth joint inventor, if any
第四の共同発明者の署名	Fourth joint Inventor's signature
日付	Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post Office Address

第五の共同発明者の氏名	Full name of fifth joint inventor, if any
第五の共同発明者の署名	Fifth joint Inventor's signature
日付	Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post Office Address

第六の共同発明者の氏名	Full name of sixth joint inventor, if any
第六の共同発明者の署名	Sixth joint Inventor's signature
日付	Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post Office Address

(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)